### COOPER & DUNHAM LLP

#### ATTORNEYS AT LAW

1185 AVENUE OF THE AMERICAS, NEW YORK, NEW YORK 10036 TELEPHONE: (212) 278-0400

CHRISTOPHER C. DUNNAM NORMAN H. ZIVIN JOHN P. WHITE WILLIAM E. PELTON ROBERT D. KATZ PETER J. PHILLIPS WENDY E. MILLER POBERT T. MALDONADO ERIC D. KIRSCH ALAN J. HORRISON GARY J. GERSHIK MICHAEL F. MORANO FRANK A. BRUNO JASON S. MARIN REITH J. BARKAUS HARVEY AGOSTO

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T-204 P.001

OF COUNSEL " JOHN R. GARBER MARK A. FARLEY

SCIENTIFIC ADVISORS BRIAN J. AMOS. PH. D. WILFRED W. HOLNESS, PH.D. NICHOLAS F. MUTO, PH. D. JOSEPH B. CRYSTAL, PH. D. ARMAND L. BALBONI, M. PHIL. MURIEL M. LIBERTO, PH. D.

FOUNDED 1887 www.cooperdunham.com

" NEW YORK STATE BAR ADMISSION PENDING

PLEASE DELIVER THE FOLLOWING PAGES

TO

ANTHONY V. FINT

: Ms. Doshie Day

COMPANY/FIRM : U.S. Patent and Trademark Office

FACSIMILE NO.: (703) 308-7749

FROM

: John P. White, Esq./AJM/AJD

TOTAL NUMBER OF PAGES, INCLUDING COVER PAGE: 26

DATE

: November 27, 2002

TIME:

IF YOU DO NOT RECEIVE ALL THE PAGES, PLEASE CALL BACK AS SOON AS POSSIBLE TO (212) 278-0431.

MESSAGE:

PETITION TO WITHDRAW HOLDING OF ABANDONMENT further to November 27, 2002 TELEPHONE CONFERENCE in connection with Ilya Trakht for DEVELOPMENT OF HUMAN MONOCLONAL ANTIEODIES AND USES THEREOF, U.S. Serial No. 09/664,485, filed September 18, 2000, including a Facsimile Certificate of Transmission dated November 27, 2002.

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION IS INTENDED SOLELY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS TRANSMISSION MAY BE AN ATTORNEY-CLIENT COMMUNICATION CONTAINING INFORMATION THAT IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT A DESIGNATED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO A DESIGNATED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, OR IF UPON READING THIS DOCUMENT YOU HAVE REASON TO BELIEVE THAT THE DOCUMENT WAS INADVERTENTLY SENT TO YOU, PLEASE NOTIFY US IMMEDIATELY BY COLLECT TELEPHONE CALL AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

FACSIMILE TRANSMISSION

12123910525

T-2

Dkt. No. 55099-A-PCT-US/JPW/AJM/AJD

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant.

Ilya Trakht

U.S. Serial No.:

09/664,465

Filed:

September 18, 2000

For:

DEVELOPMENT OF HUMAN MONOCLONAL ANTIBODIES

AND USES THEREOF

1185 Avenue of the Americas New York, New York 10036 November 27, 2002

Honorable Commissioner for Patents Washington, D.C. 20231

ATTN: Ms. Doshie Day

Office of Initial Parent Examination

3ir:

## PETITION UNDER 37 C.F.R. §1.8(b) TO WITHDRAW HOLDING OF ABANDONMENT

This is a Petition under 37 C.F.P. §1.9(b) to withdraw the holding of abandonment set forth in the Notice of Abandonment issued September 27, 2002 in connection with the above-identified application. The September 27, 2002 Notice states that the subject application was abandoned for applicant's alleged failure to timely or properly reply to the Notice to File Missing Parts mailed on November 14, 2000. A copy of the September 27, 2002 Notice, which alleges that no reply was received by the Patent Office, is attached as Exhibit A.

Pursuant to instructions provided during a November 27, 2002 telephone conference between the undersigned attorney, Alan J. Morrison, Esq., and Ms. Doshie Day of the Office of Initial Patent Examination, applicant is submitting this Petition under 37 C.F.R. \$1.8(b) by facsimile to the attention of Ms. Day The undersigned attorney understands that, upon receipt of and satisfaction with this Petition, Ms. Day will withdraw the



Applicant: Ilya Trakht Serial No.: 09/664,485

filed: Page 2 September 18, 2000

holding of abandonment.

A petition under 37 C.F.K. \$1.8(b) requires that applicant: (1) inform the Office of the previous mailing or transmission of the correspondence promptly after becoming aware that the Office has no evidence of receipt of the correspondence; (2) supply an additional copy of the previously mailed or transmitted correspondence and certificate: and (3) include a statement which attests, on a personal knowledge basis or no the satisfaction of the Commissioner, to the previous timely mailing or transmission.

Pursuant to 37 C.F.R. \$1.8(b)(1), this Petition is being filed promptly after applicant's undersigned attorney became aware that the Patent Office indicated it did not receive a reply to the Notice to File Missing Farts.

The Notice to File Missing Parts, issued in the subject application on November 14, 2000, required applicant to submit a signed Declaration and Power of Attorney by January 14, 2001, which deadline was extendible under 37 C.F.R. \$1.136(a). A copy of the November 14, 2000 Notice to File Missing Parts is attached as Exhibit B.

In response to the November 14, 2000 Notice to File Missing Parts, applicant submitted to the U.S. Patent and Trademark Office a Communication In Response To November 14, 2000, Notice To File Missing Parts Of Application Filing Date Granted And Petition For A Three-Month Extension Of Time ("Communication") on April 13, 2001. Applicant included with the Communication (a) payment of the four hundred and forty-five dollars (\$445.00) required for a three-month extension, and (b) a signed Declaration and Power of Attorney. With the three-month

T-204 P.004/024 F-304

Applicant: Ilya Trakht Scrial No.: 09/664,485

Filed: Seprember 18, 2000

Fage 3

extension, a response to the Notice to File Missing Parts was due April 14, 2001. Therefore, the April 13, 2001 Communication was timely filed.

In support of this Petition, and pursuant to 37 C.F.R. \$1.8(b)(2), applicant attaches hereto as Exh1bit C a copy of the April 13, 2001 Communication, including the signed Declaration and Power of Attorney and certificate of mailing dated April 13, 2001. Applicant also attaches hereto as Exhibit D a copy of the front and back sides of the check in the amount of \$510.00 submitted with the Communication, which amount includes the \$455.00 fee for the requested three-month extension of time and a \$65.00 surcharge. The back side of the check indicates that the check was cashed by the Patent Office on April 18, 2001. Applicant attaches hereto as Exhibit E a copy of the postcard which accompanied the April 13, 2001 Communication and was returned to applicant's undersigned attorney by the Patent Office. The postcard indicates receipt of the Communication by the Patent Office on April 16, 2001, as evidenced by the Patent Office's stamp bearing that date. Furthermore, applicant attaches hereto as Exhibit F a copy of the internal docketing records for the subject application from the undersigned attorney's law firm. The highlighted portion confirms that according to the law firm's internal docketing records, the required response to the November 14, 2000 Notice to File Missing Parts was timely filed on April 13, 2001. Finally, pursuant to 37 C.F.R. \$1.0(b)(3), applicant attaches hereto as Exhibit G a declaration of John F. White relating to the timely filing of the April 13, 2001 Communication.

It is noted that, as discussed between Ms. Day and the undersigned attorney, the Declaration submitted with the

Applicant: Ilya Trakht Serial No.: 09/664,485

Filed: September 19, 2000

Page 4

Communication incorrectly identifies the subject application as having U.S. Serial No. 09/040,833 instead of U.S. Serial No. 09/664,485. However, Ms. Day stated that it is not necessary at this stage to include with this Petition a corrected signed Declaration and Power of Attorney identifying the subject application as U.S. Serial No. 09/664,485, and that such matter could be addressed at a later time. Accordingly, applicant has not included a corrected Declaration with this Petition.

In view of the evidence submitted herewith, it is clear that applicant filed a Limely response to the November 14, 2000 Notice to File Missing Parts, and that the response was received by the Applicant notes that the requirements of 37 Patent Office. C.f.R. \$1.8(b) have been met, and therefore respectfully requests that the holding of abandonment set forth in the September 21, 2002 Notice of Abandonment be withdrawn, and the subject application proceed to examination.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicant's undersigned attorney invites the Examiner to telephone as the number provided below.

Applicant: liya Trakht Scrial No.: 09/664,485

Filed:

September 13, 2000

Page 5

No fee is deemed nocessary in connection with the filling of this Petition. However, if any tee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being reasonited via facsimile on this date to:

Honorable Commissioner for Patents, Washington 70.C. 20231.

Alan J. Morrison

Feg. No. 37,300

John P. White Registration No. 28,678 Alan J. Morrison Registration No. 37,399 Attorneys for Applicant Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

(212) 270-0400

EXHIBIT A



From-Cooper&Dunham\_LLP

APPLICATION NUMBER

FILING DATE

FIRST NAMED APPLICANT

ATTY, DOCKET NO MITTLE

09/664,485

09/18/2000

Ilya Trakht

55099-A-PCT-US/JPW/GJC

Cooper & Dunham LLP 1185 Avenue of the Americas New York, NY 10036

**CONFIRMATION NO. 4698** ABANDONMENT/TERMINATION LETTER 

\*OC000000008866125\*

Date Mailed: 09/27/2002

### NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 11/14/2000.

No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(I); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1,137(d).

Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Nov-27-02 05:21pm From-Cooper&Dunham LLP .

12123910525

T-204 P.009/024 F-304

EXHIBTT B





### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE الشخانهد، D.C. كن كرانا =www.crpicr.glov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/664,485

09/18/2000

Ilya Trakht

55099-A-PCT-US/JPW/GJC

Cooper & Dunham LLP 1185 Avenue of the Americas New York, NY 10036



Date Mailed: 11/14/2000

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant Is \$ 65.

A copy of this notice MUST be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART U. ATTORNEY/APPLICANT COPY

EXHIBIT C



Docket No.55099 A-PCT-US/JPW/SHS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Ilya Trakht

Serial No.:

09/040,833

Filed

September 18, 2000

FOT

DEVELOPMENT OF HUMAN MONOCLONAL ANTIBODIES AND

USES THEREOF

1185 Avenue of the Americas New York, New York 10036

April 13, 2001

Assistant Commissioner for Patents Washington, D.C. 20231

ATTN: Box Missing Parts

sir:

COMMUNICATION IN RESPONSE TO NOVEMBER 14, 2000,

NOTICE TO FILE MISSING PARTS OF APPLICATION FILING

DATE GRANTED AND PETITION FOR A THREE-MONTH EXTENSION OF TIME

This Communication is submitted in response to the November 14, 2000 Notice to File Missing Parts of Application - Filing Date Granted issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to this November 14, 2000 Notice was originally due January 14, 2001. Applicants hereby petition for a three month extension of time. Applicants have previously established small entity status. The required fec for a three-month extension of time for a small entity is FOUR HUNDRED AND FORTY-FIVE DOLLARS (\$445.00) and a check which includes this amount is enclosed. Therefore, a response is now due April 14, 2001. Accordingly, this Communication is being timely filed.

The Notice to File Missing Parts of Application indicates that the eath or declaration is unsigned. Applicants attach hereto a copy of the Notice as Exhibit A. In response, applicants cubmit as Exhibit B hereto a signed Declaration and Power of Attorney pursuant to 37 C.F.R. \$1.53(f). In compliance with 37



Applicants: Ilya Trakht Serial No.: 09/040,833

: September 18, 2000 Filed

: 2 Page

Nov-27-02

C.F.R. §1.63, the Declaration refers to the application's aboveidentified serial number and filing date.

The surcharge under 37 C.F.R. §1.16(e) for filing a Declaration and Power of Attorney with the response to the Notice to File Missing Parts of Application for a small entity is SIXTY-FIVE DOLLARS (\$65.00) and a check which includes this amount is enclosed.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone either of them at the number provided below.

No fee, other than the enclosed fee of \$510.00 which includes the \$65.00 surcharge fee and the \$445.00 fee for a three-month extension of time, is deemed necessary in connection with the filing of this Communication. If any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

that certify correspondence is being deposited this date with the U.S. Postal hereby Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

4-13-61 Date

John P. White

Reg. No. 28,678 Spencer H. Schneider

Reg. No. 45,923

John P. White Registration No. 28,678 Spencer H. Schneider Registration No. 45,923 Attorneys for Applicant(s) Cooper & Dunham, LLP 1185 Avenue of the Americas New York, New York 10036 (212) 278-0400

33



## DECLARATION AND POWER OF ATTORNEY

As a below-named inventor. I hereby declare that:

My residence, post office address, and cinzenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DEVELOPMENT OF HUMAN MONOCLONAL ANTIBODIES AND USES THEREOF

check one)	is allached	hereto.		
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	Application Serial	No. 09/040,833		
· .	and was amended	September 18, 2000	(if appli	cable)
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### Declaration and Power of Assuracy

Page 3

Please address all communications, and direct all telephone calls, regarding this application to.

John P. White

Rey. No. 28,678

12123910525

Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 Tel. (212) 278-0400

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first joint inventor_	Ilya Trakht
[nventor's signature	tya tubbe
Cinzenship U.S.A	Date of signature 11/20/00
Residence 736 Was	et 186th Street #4D, New York, New York 10033
Posi Office Address_	same as residence
_	
Full name of joint inventor (if any)	
inventor's signature_	
Citizenship	Date of signature
Residence	
Posi Office Address_	
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Full name of joint inventor (If any)	
Inventor's signature_	
Cirizenship	Date of signature
Residence	•
Post Office Address	
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EXHIBIT D

EXHIBIT E

Applicant _	Ilya Trakht		< MT
Cilent	Columbia (0575)	File No. 55099-A-PCT-US	
Date	April 13, 2001	Ally.	

Kindly acknowledge receipt of the accompanying COMMUNICATION IN RESPONSE TO NOVEMBER 14, 2000 NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 C.F.R. §1.53(d) AND PETITION FOR A THREE-MONTH FATENSION OF TIME, in connection with Ilya Trakht, U.S. Serial No. 09/040,833, filed September 18, 2000 for DEVELOPMENT OF HUMAN MONOCLONAL ANTIBODIES AND USES THEREOF, including a copy of the Notice To File Missing Parts (FAMILIAA) original Declaration and Power of Attorney (Exhibit B), a check in the automater \$510.00 and a Certificate of Mailing dated April 13, 2001

Date Due: April 14, 2001

by placing your receiving date stamp hereon and returning to us

APR 1 6 2001

EXHIBIT F

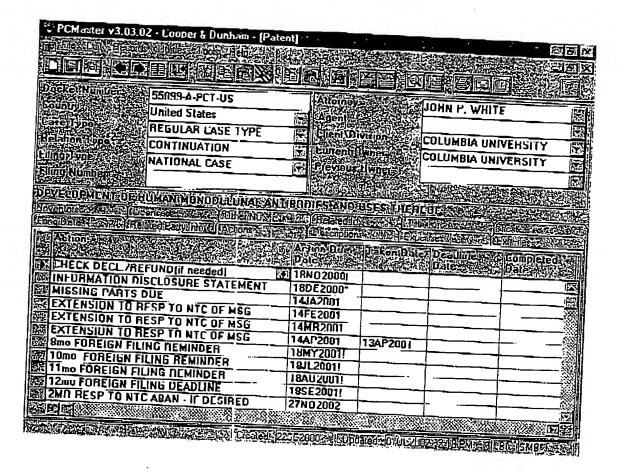


EXHIBIT G

Dkt. No. 55099-A-PCT-US/JPW/AJM/AJD

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ilya Trakht

U.S. Berial No.:

09/664,485

Filed:

September 18, 2000

For:

DEVELOPMENT OF HUMAN MONUCLUNAL ANTIRODIES

AND USES THEREOF

1195 Avenue of the Americas New York, New York 10036 November 27, 2002

Honorable Commissioner for Patents Washington, D.C. 20231

Sir:

### DECLARATION OF JOHN P. WHITE, ESQ. IN SUPPORT OF PETITION UNDER 37 C.F.R. \$1.8(b)

- I, John P. White, Esq., hereby declare as follows:
- I am a member of the firm Cooper & Dunham LLP, attorneys for applicant.
- I have been a partner with Cooper & Dunham LLP since 2. February 1, 1983.
- I am registered to practice before the Palent and Trademark 3. Office and I am the attorney of record in the aboveidentified application.
- I supervised Spancer H. Schneider, Esq., the attorney who signed and submitted the Communication in Response to the November 14, 2000 Notice to File Missing Parts that was mailed to the Patent Office on April 13, 2001.

Applicant: Ilva Trakht Serial No.: 09/664,405

Filed: September 18, 2000

Page 2

- All correspondence which is prepared for mailing to the U.S. Patent and Trademark Office by first class mail is placed in a mailbox in the mailroom of Cooper & Dunham LLP where postage is attached to the envelope in the correct amount and bearing the correct postmark, and the envelope is deposited with the U.S. Postal Service as first class mail.
- I verify that on April 13, 2001, Spancer H. Schneider, Esq. caused the Communication in Response to the Notice to File Missing Farts, accompanied by a check in the amount of \$510.00 which included the required fee of \$455.00 for a three-month extension of lime, to be placed in the mailroom of Cooper & Durham LLP.
- 7. There were no other steps, within reason, that could have been taken to ensure that the April 13, 2001 Communication in Response to the Notice to file Missing Parts was timely filed.

Dated: November 27, 2002

John Pl White

Registration No. 28,678

Artorney for Applicant, Cooper

& Dunham LLP

1185 Avenue of the Americas New York, New York 10036

(212) 278-0400